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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/802,043	03/15/2004	John J. Sie	019281-003310US	3454
20350 7590 01/06/2009 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834				
EXAMINER				
STRONCZER, RYAN S				
ART UNIT		PAPER NUMBER		
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/802,043

Applicant(s)

SIE ET AL.

Examiner

Ryan Stronczer

Art Unit

2425

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 October 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14 and 20-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-14 and 20-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 March 2004 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Drawings

The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the first and second subset of the stream of images recited in claims 24-26 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Response to Arguments

Applicant's arguments filed 24 October 2008 have been fully considered but they are not persuasive. With respect to claims 1 and 10, Applicant alleges that:

To the extent that Official Notice is being taken that it was known in the art to transmit metadata that is made up of dynamically changing aspect ratio conversion information, Applicants traverse this Official Notice...It is respectfully suggested that before the priority date of this Application, the transmission of metadata that is made up of dynamically changing aspect ratio conversion information was not capable of instant and unquestionable demonstration as being well-known. (Remarks, pg. 9-10)

Examiner respectfully suggests that Applicant has mischaracterized the rejection based on Official Notice set forth in the previous Office Action. The use of Official Notice in the previous Office Action was merely intended to teach "that it is well known in the art to transmit a video program and associated metadata over a communications network to a plurality of devices" (Office Action, pg. 4) and not to teach that said metadata is "made up of dynamically changing aspect ratio conversion information," as alleged by Applicant. Masukura teaches a system for processing a video program and associated metadata on a frame-by-frame basis in which the metadata changes "temporally" to reflect changes in the conversion information. Masukura further teaches that the video program and metadata may be transmitted to a remotely located output device "by way of a network or broadcast waves" [0029]. Examiner maintains that broadcasting the video program of Masukura would inherently comprise temporally or dynamically changing metadata in a manner cumulative with the method of claim 1 and the use of Official Notice was merely intended to teach the well-known ability to transmit

a video program and associated metadata to a plurality of devices, a combination of known elements that would have yielded predictable results.

In compliance with the requirement of MPEP §2144.03(C) that "the examiner provide documentary evidence in the next Office action if the rejection is to be maintained," Examiner submits that Swart et al. (Pub. No.: US 2003/0025832), as cited in the Office Action dated 10/19/2007, teaches the transmission of a video program and associated metadata to a plurality of output devices.

As agreed upon in the interview conducted on 10 September 2008, previously-withdrawn claims 24-26 have been rejoined.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-14, 20, 21, and 23-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Masukura et al. (Pub. No.: US 2004/0148640) and further in view of Yamauchi et al. (US Pat. No.: 5,907,659) and Official Notice.

As to claims 1, 2, 10, and 24-26 Masukura teaches a system and associated method for processing a video program on a frame-by-frame basis in which the metadata changes temporally to reflect changes in the conversion information.

Masukura further teaches that the video program and metadata may be transmitted to a remotely located output device *"by way of a network or broadcast waves"* [0029].

Masukura et al. teaches a method for processing a video image in which the processing instructions conversion information are encoded in metadata associated with the content and that said metadata includes aspect ratio conversion information [0071]. As to the limitation that the metadata "dynamically changes," paragraphs 0053-54 of Masukura teach that *"...the processing is basically carried out frame-by-frame...the metadata may be read as needed during processing."* Further, Fig. 3 and 5 teach that the metadata associated with each frame or temporal region may specify different conversion or processing information.

Though paragraph 0071 of Masukura teaches that the *"restriction information about the relative frame is acquired (step S71) from the metadata...The restriction information includes the number of pixels and the aspect ratio of an apparatus that uses the output moving pictures,"* it does not explicitly teach converting from a first aspect ratio to a second aspect ratio. In an analogous art, Yamauchi teaches steps for converting a display from a first aspect ratio to a second aspect ratio. Fig. 1A-2B of Yamauchi teach converting from a first aspect ratio to a second aspect ratio. Further, Fig. 13A-C and 27A-D of Yamuchi teach that the conversion information can change from frame-to-frame to accommodate subtitle placement. As Yamauchi teaches methods for performing aspect ratio conversion, the combination of the conversion and processing information taught by Masukura with the aspect ratio conversion taught by Yamauchi would have been obvious to one of ordinary skill in the art at the time of the

invention since such combination would have yielded results that would have been predictable to one of ordinary skill in the art at the time of the invention.

As to the limitation that the video program be transmitted to a geographically different location, Masukura teaches, "...the output moving picture storage unit 106 may exist in a remote place by way of a network or broadcast waves" [0033]. Furthermore, Examiner takes Official Notice that it was well known in the art of video distribution at the time the invention was made to transmit a video program and associated metadata over a communications network to a plurality of devices. Examiner maintains that where the conversion information of Masukura changes during the program, as analyzed above that broadcasting the video program of Masukura would inherently comprise temporally or dynamically changing metadata in a manner cumulative with the method of claims 1 and 2.

As to the distribution system recited in claim 10, Masukura teaches that the both the input and output moving-picture and metadata storage units may exist "*in a remote place by way of a network or broadcast waves*" [0029, 0033]. As to the recited distribution point, Masukura teaches that "...a video camera or a broadcast wave tuner may be used as the input moving-picture storage unit" [0027] which is equivalent to the recited distribution point. Examiner maintains that broadcasting the video program of Masukura would inherently comprise temporally or dynamically changing metadata in a manner cumulative with the system of claim 10.

As to the limitations recited in claims 24 and 25 regarding a first and second subset of the stream of images, the temporal regions taught by Masukura are equivalent

to the recited subsets. Fig. 5 teaches that the metadata may contain different conversion or manipulation data for each temporal region.

As to claim 3, Masukura teaches that the metadata can be adapted to adjust for the aspect ratios of various display devices [0071] which is consistent with the recited "plurality of recited target aspect ratios."

As to claim 4, Fig. 1B-2B of Yamauchi teaches the recited shrinking.

As to claims 5 and 23, paragraph 0071 of Masukura teaches:

restriction information about the relative frame is acquired (step S71) from the metadata. The restriction information is information to limit the position of the cutout region. The restriction information includes the number of pixels and the aspect ratio of an apparatus that uses the output moving pictures.

Using the restriction information to limit the position of a cutout region is equivalent to the limitation recited in claim 5 that "the video conversion information specifies a portion of the video program to display as the second aspect ratio."

As to claim 6, Fig. 4-8 of Masukura teach that different areas of the image can be scaled differently as recited; further, Masukura teaches that the height or width of the image may be scaled differently to accommodate the aspect ratio of the display device [0082].

As to claims 7, 8, and 21, the recited "rotating or mirroring" and "plurality of discreet portions" is taught by Fig. 4 and 6 of Masukura as applied to claim 6. The shaded areas of Fig. 4 and 6 are equivalent to the recited "plurality of discreet portions," and their manipulation (movement, rotation, etc.) evident in the difference between the

two figures is equivalent to the recited "rotating or mirroring" and "different transformations for different portions."

As to claim 9, the recited computer-readable medium and computer-executable instructions are inherent in a system capable of receiving and processing digital video, such as that taught by Masukura.

As to claim 11, Fig. 1 of Masukura teaches an output video display coupled to a processed video generator.

As to claims 12 and 13, paragraphs 0053-54 of Masukura (cited above) teach that the processing information is read from the metadata on a frame-by-frame basis, or as needed by the video processor; it is inherent that this would allow the video processor to change conversion to a third aspect ratio mid-stream if such change were indicated by the metadata.

As to claim 14, Fig. 4 and 6 of Masukura teach the recited "first and second cutout" and Fig. 1 teaches that the cutout-processing instructions are contained within the metadata.

As to claim 20, Yamauchi teaches a method which includes converting a video image from a first aspect ratio to a second aspect ratio. In Fig. 1B, an image originally in a 16:9 aspect ratio is converted into a 4:3 aspect ratio. The dashed lines overlaid on the 16:9 image in Fig. 1 would be the equivalent of aperture 1012 shown in Fig. 10 of the instant application. Fig. 1B of Yamauchi further teaches that only the portion of the 16:9 image corresponding with the overlay is displayed in the converted 4:3 image. Conversely, Fig. 1C of Yamauchi teaches a method for converting from an original 4:3

aspect ratio to a 16:9 aspect ratio. Further, the shaded areas of Fig .4 and 6 of Masukura would be equivalent to the recited apertures or cutouts and movement and teach the recited "manipulations," as analyzed w/r/t claims 7 and 8.

Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Masukura and Yamauchi as applied to claims 1 and 10 above, and further in view of Duffield et al. (US Pat. No.: 5,461,427, previously cited).

As analyzed above, Yamauchi in view of Masukura teaches the method of claim 1 including the use of metadata to facilitate conversion from a first aspect ratio to a second aspect ratio, but does not explicitly teach the use of one or more video streams of the program. Duffield teaches that the same program may be simultaneously broadcast (simulcast) in both NTSC and HDTV standards so to accommodate viewers with NTSC-compatible televisions as well as users with HDTV sets. Duffield teaches that such simulcasting is necessary to service users of both standards because, *"the HDTV system uses a 16:9 aspect ratio while the NTSC system has a 4:3 aspect ratio, the HDTV system will have 1125 television scan lines while the NTSC system has only 525"* (Col. 1). Duffield further teaches a receiver which can receive and process both NTSC and HDTV signals and, *"includes a controller responsive to user input for associating a single label with a pair of television channels, one being an NTSC-signal carrying channel, and the other being an HDTV-signal carrying channel"* (Col. 2). Figs. 2a and 2b of Duffield show the same program displayed in both the 16:9 and 4:3 aspect ratios, respectively. As simulcasting the same video program in multiple formats to accommodate viewers with different display types was known in the art of video

distribution, it would have been obvious to one of ordinary skill at the time of the invention to stream or broadcast the same program in multiple aspect ratios or display formats to allow users with different display capabilities to enjoy the same program. Since Duffield's disclosure was published in 1995, it does not explicitly refer to embodying video programs in a "content stream," but transmitting a video program in a content stream is an application of existing technologies that would have been obvious to one of ordinary skill in the art at the time of the invention.

Contact

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ryan Stronczer whose telephone number is (571) 270-3756. The examiner can normally be reached on 7:30 AM - 5:00 PM (EDT), Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian T. Pendleton can be reached on (571) 272-7527. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ryan Stronczer/
Examiner, Art Unit 2425

**/Hunter B. Lonsberry/
Primary Examiner, Art Unit 2421**